



IFW

CASE PH 7483 NP

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Sammy G. Duncan Jr. Ph.D.

Type or print name

Sammy G. Duncan Jr.
Signature

July 22, 2004

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

LEE ET AL.

APPLICATION NO: 10/743,449

FILED: DECEMBER 19, 2003

FOR: SUBSTITUTED TRICYCLIC GAMMA-CARBOLINES AS
SEROTONIN RECEPTOR AGONISTS AND ANTAGONISTS

Mail Stop **Box Amendment**
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §1.56, applicants wish to call the Examiner's attention to the references cited on the attached form(s) PTO-1449.

These references were cited in a search report in a corresponding PCT International application. Copies of these references and the search report are enclosed herewith.

The Examiner is requested to consider the foregoing information in relation to this application and indicate that each reference was considered by returning a copy of the initialed PTO 1449 form(s).



Certificate under 37 C.F.R. §1.97(e)(1)

I, the undersigned attorney, hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than thirty (30) days prior to the filing of this Statement.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Sammy G. Duncan Jr.", written over a horizontal line.

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Date: July 22, 2004

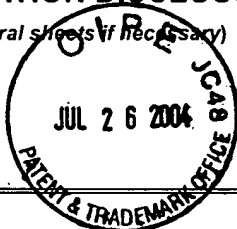
FORM PTO-1449
(REV. 7-85)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Sheet 1 of 1

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



ATTY. DOCKET NO.
PH 7483 NP
APPLICATION NO.
10/743,449
APPLICANT
LEE ET AL.
FILING DATE
DECEMBER 19, 2003

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	AA	5, 252,580	10/12/93	Takahashi et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	OFFICE	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AM						<input type="checkbox"/>	<input type="checkbox"/>
	AN						<input type="checkbox"/>	<input type="checkbox"/>
	AO						<input type="checkbox"/>	<input type="checkbox"/>
	AP						<input type="checkbox"/>	<input type="checkbox"/>
	AQ						<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent pages, Etc.)

	AR	Database CAPLUS on STN, AN 1989:497120, MARKO et al. "Synthesis of tritium-labeled stobadin, a new cardioprotective agent", abstract, Journal of Labeled Compounds and Radiopharmaceuticals, 1989, 27(1), 35-43
	AS	Database CAPLUS on STN, AN 1975:508140, BARKOV et al. "Relation between the chemical structure and pharmacological activity of carboline derivatives", abstract, Khimiko-Farmatsevticheskii Zhurnal (1975), 9(4), 6-10
	AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial of reference considered, whether or not citation is in conformance with MPEP 609: Draw a line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.